



Where the end of breast cancer begins.™

FY20 SMALL GRANTS PROGRAM REQUEST FOR APPLICATIONS

FOR BREAST HEALTH SUPPORT PROJECTS
PERFORMANCE PERIOD: JUNE 1, 2019 - MARCH 31, 2020

**OUR MISSION: SAVE LIVES BY MEETING THE MOST CRITICAL NEEDS IN OUR COMMUNITIES
AND INVESTING IN BREAKTHROUGH RESEARCH TO PREVENT AND CURE BREAST CANCER**

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KEY DATES

All small grant applications are due no later than January 15, 2020, and requests for support of travel grants, conferences, or other educational events must be received at least eight weeks prior to the event. Please note that the Small Grant program funding is limited; the program may be suspended without notice if all available funds have been dispersed.

ABOUT SUSAN G. KOMEN® SOUTH CAROLINA

Susan G. Komen is the world's largest breast cancer organization, funding more breast cancer research than any other nonprofit while providing real-time help to those facing the disease. Komen has set a Bold Goal to reduce the current number of breast cancer deaths by 50 percent in the U.S. by 2026. Komen was founded in 1982 by Nancy G. Brinker, who promised her sister, Susan G. Komen, that she would end the disease that claimed Suzy's life. Komen South Carolina is working to better the lives of those facing breast cancer in the local community. Komen South Carolina has invested more than \$4 million in community breast health programs in South Carolina and has helped contribute to the more than \$988 million invested globally in research. For more information, call 843-556-8011 or visit www.komensouthcarolina.org.

NOTICE OF FUNDING OPPORTUNITY AND STATEMENT OF NEED

Komen South Carolina is currently offering Small Grants up to \$10,000 to support programs that: reduce barriers to care, provide breast health education, and build community trust leading to increased access to care. Innovative approaches to achieving Community Profile Mission Action Plan objectives and health equity are encouraged. For applications seeking funds greater than \$10,000 or seeking to provide screening, diagnostic and/or treatment services, please refer to our Community Grants RFA.

The findings from the Komen South Carolina Community Profile revealed that the greatest barriers to care throughout our service area includes costs of accessing care (transportation, lodging, childcare), lack of appropriate breast health education and knowledge of local resources for both the public and providers, and distrust of providers in some communities. This is especially true in the rural communities served by Komen South Carolina, regardless of the county in which they are located. Diagnostic and treatment facilities are limited across the state; rural patients must often travel two hours or more one way for an appointment. Rural patients also reported difficulty in keeping medical appointments because they need overnight lodging for back-to-back treatment appointments. Lack of reliable public transportation creates a transportation barrier in all counties served by Komen South Carolina. Hispanic populations also struggle with the language barrier. Distrust in local providers was evident in Cherokee, Hampton and Marion counties, and to a lesser extent in Colleton County. The Community Profile can be found on our website at www.komensouthcarolina.org.

SMALL GRANTS CATEGORIES

Educational/Outreach Grants

Purpose: To explore new approaches to reduce breast cancer education barriers identified in rural, African American and Hispanic populations. Education must include information about Best Chance Network and financial aid resources. Education and outreach projects must lead to a documented breast cancer action (e.g., getting a screening mammogram; importance of follow-up after an abnormal mammogram).

Amount: Up to \$10,000.

Patient Assistance Grants

Purpose: To reduce barriers to breast cancer screening, follow-up and treatment for low income (250% of Federal Poverty level or below) rural, African American and Hispanic/Latina populations throughout our service area listed in the Eligibility Requirements section below. Allowable project activity includes: transportation, childcare, assistance with insurance co-pay and/or deductibles, and translation services. Lodging and utility assistance (gas, electric, water, phone) for patients in active treatment for breast cancer may also be included. Project/event must lead to a documented breast cancer action (e.g., getting a screening mammogram; getting follow-up, or treatment).

Amount: Up to \$10,000.

Community Trust Grants

Purpose: To increase community trust in local medical providers in Cherokee, Hampton, Marion and Colleton counties in order to improve access to local quality breast health services. Innovative programs and events that engage both providers and members of the community to strengthen the trust of rural, African American and Hispanic/Latina populations are encouraged. Projects should compel local providers to connect community members with appropriate breast care services and financial aid resources.

Amount: Up to \$4,000.

ELIGIBILITY REQUIREMENTS

The following eligibility requirements must be met at the time of application submission to apply:

- Individuals are not eligible to apply.
- Applications will only be accepted from governmental organizations under Section 170(c)(1) or nonprofit organizations under Section 501(c)(3) of the Internal Revenue Service (IRS) code. Applicants must prove tax-exempt status by providing a letter of determination from the IRS.
- Applicant organizations must serve **residents** in one or more of the following counties:
 - Abbeville
 - Aiken
 - Allendale
 - Anderson
 - Barnwell
 - Beaufort
 - Berkeley
 - Bamberg
 - Calhoun
 - Charleston
 - Cherokee
 - Chester

- Chesterfield
- Clarendon
- Colleton
- Darlington
- Dillon
- Dorchester
- Edgefield
- Fairfield
- Florence
- Georgetown
- Greenville
- Greenwood
- Hampton
- Horry
- Jasper
- Kershaw
- Lancaster
- Laurens
- Lee
- Lexington
- McCormick
- Marlboro
- Marion
- Newberry
- Oconee
- Orangeburg
- Pickens
- Richland
- Saluda
- Spartanburg
- Sumter
- Union
- Williamsburg

- Proposed projects must be specific to breast health and/or breast cancer and address the Small Grants Categories listed in this RFA. If a project includes other health issues along with breast cancer, such as a breast and cervical cancer project, funding may only be requested for the breast cancer portion.
- All past and current Komen-funded projects must be in compliance with Komen requirements.
- If applicant, or any of its key employees, directors, officers or agents, is convicted of fraud or a crime involving any financial or administrative impropriety in the 12 months prior to the submission deadline for the application, then applicant is not eligible to apply for a grant until 12 months after the conviction. After this 12-month period, applicant must demonstrate in its application that appropriate remedial measures have been taken to ensure that criminal misconduct will not recur.
- Applicant may not be a current recipient of a Komen South Carolina Community Grant.
- All prospective applicants must contact Lucy Spears at lucy@komensc.org prior to initiating an application in GeMS.

ALLOWABLE EXPENSES

Funds may be used for the following types of expenses, provided they are directly attributable to the project:

- Key Personnel & Salaries
- Consultants
- Supplies
- Travel
- Other direct project expenses

For more information, please refer to the descriptions in the Budget Section below.

Funds may **not** be used for the following purposes:

- Research, defined as any project or program with the primary goal of gathering and analyzing data or information.
 - Specific examples include, but are not limited to, projects or programs designed to:
 - Understand the biology and/or causes of breast cancer

- Improve existing or develop new screening or diagnostic methods
- Identify approaches to breast cancer prevention or risk reduction
- Improve existing or develop new treatments for breast cancer or to overcome treatment resistance, or to understand post-treatment effects
- Investigate or validate methods
- Clinical services or patient care costs (e.g. screening, diagnostics, or treatment)
- Indirect Costs
- Education regarding breast self-exams/use of breast models. According to studies, teaching breast self-exam (BSE) has not been shown to be effective at reducing mortality from breast cancer
- Development of educational materials or resources that either duplicate existing Komen materials or for which there is not a demonstrated need. Applicants can view, download and print all of Komen's educational materials by visiting <http://ww5.komen.org/BreastCancer/KomenEducationalMaterials.html>. If an applicant intends to use supplemental materials, they should be consistent with Komen messages.
- Education via mass media (e.g. television, radio, newspapers, billboards), health fairs and material distribution, which are not evidence-based. These methods may be used to promote projects, but evidence-based methods such as 1-1 and group sessions should be used to educate the community and providers
- Construction or renovation of facilities/ land acquisition
- Political campaigns or lobbying
- General operating funds
- Debt reduction
- Fundraising (e.g. endowments, annual campaigns, capital campaigns, employee matching gifts, events)
- Event sponsorships
- Projects completed before the date of grant approval
- Project-related investments/loans
- Scholarships
- Thermography
- Projects or portions of projects not specifically addressing breast cancer
- Support for an existing Komen South Carolina Community Grant awarded to the applicant
- Equipment, exceeding \$5,000
- Overnight accommodations for staff/volunteers
- Overnight accommodations are allowable for patients only if necessary to access treatment appointments outside of patient's county of residence

IMPORTANT GRANTING POLICIES

Please note the following non-negotiable policies before submitting an application:

- The project must occur between June 1, 2019 and March 31, 2020.

- Recipients of services must reside in the Affiliate Service Area.
- The effective date of the grant agreement is the date on which Komen fully executes the grant agreement and shall serve as the start date of the grant. **No expenses may be accrued against the grant until the contractual agreement is fully executed.** *The contracting process can take up to six weeks from the date of the award notification letter.*
- Any unspent funds over \$1.00 must be returned to Komen South Carolina.
- At Komen's discretion, grant payment will be made in one installment after grant agreement execution or pending receipt and approval of a final report.
- Grantee will be required to submit one final report that will include, among other things, an accounting of expenditures and a description of project achievements. Additional reports may be requested.
- Certain insurance coverage must be demonstrated through a certificate of insurance at the execution of the grant agreement, if awarded. Grantee is required at minimum to hold:
 - Commercial general liability insurance with combined limits of not less than \$1,000,000 per occurrence and \$2,000,000 in the aggregate for bodily injury, including death, and property damage;
 - Workers' compensation insurance in the amount required by the law in the state(s) in which its workers are located and employers liability insurance with limits of not less than \$1,000,000; and
 - Excess/umbrella insurance with a limit of not less than \$3,000,000.
 - In the event any transportation services are provided in connection with project, \$1,000,000 combined single limit of automobile liability coverage will be required.
 - Grantees are also required to provide Komen South Carolina with a Certificate of Insurance with Susan G. Komen Breast Cancer Foundation, Inc., Susan G. Komen South Carolina, its officers, employees and agents named as Additional Insured on the above policies solely with respect to the project and any additional policies and riders entered into by grantee in connection with the project.
 - If applicable, the SC Tort Claims Act supersedes the insurance requirements listed above. If Grantee is an entity under the South Carolina Tort Claims Act, S.C. Code §§15-78-10 et. seq., the Grantee must maintain commercial general liability insurance and professional liability insurance in amounts consistent with the limits of liability for governmental entities under the South Carolina Tort Claims Act.

EDUCATIONAL MATERIALS AND MESSAGES

Susan G. Komen is a source of information about breast cancer for people all over the world. To reduce confusion and reinforce learning, we only fund projects that use educational messages and materials that are consistent with Komen messages, such as our breast self-awareness messages - know your risk, get screened, know what is normal for you and make healthy lifestyle choices. The consistent and repeated use of the same messages can reduce confusion, improve retention and lead to the adoption of actions we believe are important for quality breast care. Please visit the following webpage before completing your application and be sure that your organization can agree to promote these messages:

<http://ww5.komen.org/BreastCancer/BreastSelfAwareness.html>.

If an applicant wants to develop educational resources, they must discuss with Komen South Carolina prior to application submission and provide evidence of need for the resource.

Komen has developed breast cancer education toolkits for Black and African-American communities and Hispanic/Latino communities. They are designed for health educators and organizations to meet the needs of their communities. The Hispanic/Latino toolkit is available in both English and Spanish. To access these toolkits, please visit <http://komentoolkits.org/>.

REVIEW PROCESS

Each grant application will be reviewed by at least three reviewers. They will consider each of the following selection criteria:

Impact 25%: How successful will the project be at increasing the percentage of people who enter, stay in or progress through the continuum of care, thereby reducing breast cancer mortality?

Statement of Need 25%: How well has the applicant described the identified need and the population to be served, including race, ethnicity, economic status and breast cancer mortality statistics? How closely does the project align with the Small Grant Category and target communities stated in the RFA?

Project Design 20%: How likely is it that proposed activities will be achieved within the scope of the project? How well has the applicant described the project activities to be completed with Komen funding? To what extent is the proposed project designed to meet the needs of specific communities including the cultural and societal beliefs, values and priorities of each community? How well does the applicant incorporate an evidence-based intervention and/or a promising practice? To the extent collaboration is proposed, how well does the applicant explain the roles, responsibilities and qualifications of project partners? How well does the budget and budget justification explain the need associated with the project?

Organization Capacity 15%: To what extent does the applicant's staff have the expertise to effectively implement all aspects of the project? How well has the applicant demonstrated evidence of success in delivering services to the target population described? To what extent has the applicant demonstrated they have the equipment, resources, tools, space, etc., to implement all aspects of the project?

Monitoring and Evaluation 15%: To what extent will the documented evaluation plan be able to measure progress toward the stated project goal and objectives, and the resulting outputs and outcomes? To what extent does the evaluation plan aim to collect the relevant required metrics in Appendix A of the RFA? To what extent are the applicant's monitoring and evaluation (M&E) resources/ expertise likely to adequately evaluate project success?

The grant application process is competitive, regardless of whether or not an organization has received a grant in the past. Funding in subsequent years is never guaranteed.

APPLICATION INSTRUCTIONS

The application must be completed and submitted via the Komen Grants e-Management System (GeMS), <https://affiliategrants.komen.org>. All applications must be submitted before the Application Deadline listed in the Key Dates section above. For an application instruction manual, please visit our webpage, komensouthcarolina.org, or contact Lucy Spears at lucy@komensc.org. When initiating an application in GeMS, please make sure it is a **Small**

Grants application, designated “SG”, and not a Community Grants (“CG”) application to apply to this RFA.

PROJECT PROFILE

This section collects basic organization and project information, including the title of the project, contact information and partner organizations.

Attachments for the Project Profile page (if applicable):

- **Letters of support or memoranda of understanding from proposed collaborators** to describe the nature of the collaboration and the services/expertise/personnel to be provided through the collaboration.

ORGANIZATION SUMMARY (limit 1,000 characters)

This section collects information regarding your organization’s history, mission, programs, staff/volunteers, budget, and social media.

PROJECT ABSTRACT (limit 1,000 characters)

This section requires the selection of the Small Grant Category to be addressed and a summary of the proposed project. The abstract is typically used by the Affiliate in public communications about funded projects.

PROJECT NARRATIVE (limit 8,000 characters)

This section is the core piece of the application. It should address the review criteria and cover the following information:

- A description of the project or activity
- How the project will further Komen’s mission
- How the project will reach the target audience/community
- For Community Trust grants that include conferences- anticipated number of participants, description of target participants, description of conference format including specific topics to be covered and speakers, if known.

PROJECT TARGET DEMOGRAPHICS

This section collects information regarding the intended target audience(s) and target locations identified in the project. This does not include every demographic group the project will serve, rather this should be based on the groups that will be primarily served.

PROJECT WORK PLAN

In the Project Work Plan section in GeMS, create objectives that are aligned with the allowable Small Grants Categories to support the following universal goal:

Reduce breast cancer mortality by addressing disparities, increasing access to quality and timely care, and/or improve outcomes through patient navigation.

The project must have at least one objective, and no more than three objectives, with each able to be evaluated. Please ensure that all objectives are SMART objectives:

Specific
Measurable
Attainable
Realistic
Time-bound

A guide to crafting SMART objectives is found in Appendix B with examples provided.

Each objective in the Project Work Plan must be reported on in the final report covering all project activities. **The Project Work Plan must include measurable objectives that will be accomplished with funds requested from Komen South Carolina.** Objectives that will be funded by other means should **not** be reported here, but instead, can be included in your overall project narrative.

Attachments to support the Project Work Plan may include, but are not limited to:

- **Evaluation forms, surveys, logic model, etc.** that will be used to assess the progress and/or the effectiveness of these objectives.

BUDGET SECTION

For each line item in the budget, **provide an estimated expense calculation and a brief justification** explaining how the funds will be used and why they are necessary to achieve proposed objectives. A description of each budget category follows:

KEY PERSONNEL/SALARIES

This section requests personnel information for individuals playing a key role in completing the project. It should also include staff salary and benefits information based on the amount of time spent on the proposed project, if being requested from Komen. If no funds are requested from Komen for staff's salary, enter 0 in the "% of Salary on Project" Field.

Attachments Needed for Key Personnel/Salaries Section:

- **Resume/Job Description** – For key personnel that are currently employed by the applicant, provide a resume or *curriculum vitae* that includes education level achieved and licenses/certifications obtained. For new or vacant positions, provide a job description (*Two-page limit per individual*).

CONSULTANTS

This section should be completed if it is necessary for a third party to help with a piece of the project. Consultants are persons or organizations that offer specific expertise not provided by applicant staff and are usually paid by the hour or day.

SUPPLIES

This section should include the supplies needed to help achieve proposed project objective(s).

TRAVEL

This section should be completed if: a) funding for patient travel is requested or b) travel expenses such as, conference travel, registration fees or mileage reimbursement by organization staff or volunteers related to project activity is necessary to complete the project.

Overnight accommodations are allowable for patients only if necessary to access treatment appointments outside of patient's county of residence.

Overnight accommodations for staff/volunteers is not an allowable expense.

OTHER

This section should only be used for items that cannot be included in the existing budget sections. This may include financial assistance with expenses related to childcare, prescription costs and utility bills. To receive assistance with prescriptions or utility bills, patient must be in active treatment for breast cancer.

PROJECT BUDGET SUMMARY

This section includes a summary of the total project budget. Match funding must also be entered on this page.

Attachments Needed for the Project Budget Summary Section:

- **Proof of Tax Exempt Status** – To document **federal tax-exempt status**, attach the organization's determination letter from the Internal Revenue Service. Evidence of state or local exemption will not be accepted. Please do not attach your Federal tax return. To request verification of your organization's tax-determination status, visit the following page on the IRS Web site:

<https://www.irs.gov/charities-non-profits/exempt-organizations-select-check>

Applicant Support: Questions should be directed to:

Lucy Spears, Director of Mission
843-556-8011
lucy@komensc.org

APPENDIX A: FY20 SMALL GRANT REPORTING METRICS

Grantees will be required to report on the below metrics in FY20 Final Reports. Grantees will only need to report on the Small Grant Category selected. For example, if a grantee is conducting an Education/Outreach project and has only education objectives, they will only report metrics for the Education/Outreach category.

Education/Outreach Programs

- Type of education program
 - One-on-one, Group
- Audience (e.g., survivors, community, providers)
- Education topic (e.g. breast self-awareness, local resources, metastatic breast cancer)
- County where education program held
- Number of participants for each program/event
- Participant Age
- Participant Race
 - American Indian/Alaska Native, Asian, Black/African-American, Middle Eastern or North African, Native Hawaiian or Pacific Islander, White, Unknown or Other
- Participant Ethnicity
 - Hispanic/Latino, Non-Hispanic/Latino, Unspecified
- Participant Gender
 - Female, male, transgender, other, unknown
- A summary of pre- and post-survey results from the education sessions including:
 - Number of follow-ups completed
 - Action(s) taken by participant
 - Didn't take action, adopted healthy behavior, talked to care provider, had a breast cancer screening, shared info with others, received genetic counseling/testing, talked to provider about clinical trials, enrolled in clinical trial, other, unknown

Patient Assistance Grants

- Type of assistance provided
- Number of patients receiving assistance
- Patient Age
- Patient Race
 - American Indian/Alaska Native, Asian, Black/African-American, Middle Eastern or North African, Native Hawaiian or Pacific Islander, White, Unknown or Other
- Patient Ethnicity
 - Hispanic/Latino, Non-Hispanic/Latino, Unspecified
- Patient Gender
 - Female, male, transgender, other, unknown
- Patient County of residence

Community Trust Grants

- Title of conference, symposium or workshop
- Date of conference, symposium or workshop
- Number of people that attended conference, symposium or workshop
- Percent of attendees from medical providers and percent of attendees from community
- Percent of conference, symposium or workshop that was breast cancer specific
- Provide a summary of the survey results from conference, symposium or workshop participants including how the enhanced existing and/or new partnerships benefited members of the target community in entering or staying in the breast cancer continuum of care.

APPENDIX B: WRITING SMART OBJECTIVES

A **SMART** objective is:

1. **Specific:**
 - Objectives should provide the “who” and “what” of project activities.
 - Use only one action verb since objectives with more than one verb imply that more than one activity or behavior is being measured.
 - Avoid verbs that may have vague meanings to describe intended output/outcomes (e.g., “understand” or “know”) since it may prove difficult to measure them. Instead, use verbs that document action (e.g., identify three of the four Komen breast self –awareness messages).
 - The greater the specificity, the greater the measurability.
2. **Measurable:**
 - The focus is on “how much” change is expected. Objectives should quantify the amount of change expected.
 - The objective provides a reference point from which a change in the target population can clearly be measured.
3. **Attainable:**
 - Objectives should be achievable within a given time frame and with available project resources.
4. **Realistic:**
 - Objectives are most useful when they accurately address the scope of the problem and programmatic steps that can be implemented within a specific time frame.
 - Objectives that do not directly relate to the project goal will not help achieve the goal.
5. **Time-bound:**
 - Objectives should provide a time frame indicating when the objective will be measured or time by which the objective will be met.
 - Including a time frame in the objectives helps in planning and evaluating the project.

SMART Objective Example

Non-SMART objective 1: Women in Green County will be provided educational sessions.

This objective is not SMART because it is not specific, measurable, or time-bound. It can be made SMART by specifically indicating who is responsible for providing the educational sessions, how many people will be reached, how many sessions will be conducted, what type of educational sessions will be conducted, who the women are and by when the educational sessions will be conducted.

SMART objective 1: By September 2019, Pink Organization will conduct 10 group breast cancer education sessions reaching at least 200 Black/African American women in Green County resulting in a documented breast cancer action.

SMART Objective Checklist

Criteria to assess objectives	Yes	No
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1. Is the objective SMART?		
<ul style="list-style-type: none"> • Specific: <i>Who? (target population and persons doing the activity) and What? (action/activity)</i> 		
<ul style="list-style-type: none"> • Measurable: <i>How much change is expected?</i> 		
<ul style="list-style-type: none"> • Achievable: <i>Can be realistically accomplished given current resources and constraints</i> 		
<ul style="list-style-type: none"> • Realistic: <i>Addresses the scope of the project and proposes reasonable programmatic steps</i> 		
<ul style="list-style-type: none"> • Time-bound: <i>Provides a time frame indicating when the objective will be met</i> 		
2. Does it relate to a single result?		
3. Is it clearly written?		

Source: Department of Health and Human Services- Centers for Disease Control and Prevention. January 2009. Evaluation Briefs: Writing SMART Objectives. <http://www.cdc.gov/healthyyouth/evaluation/pdf/brief3b.pdf>